Cairo University Faculty of Veterinary Medicine Department of Virology



Studies on Biodegradable Nanoadjuvants for Mucosal Vaccine Delivery

A dissertation presented by Walaa Abd El Moneim El Sayed Mohammed M. V. Sc. (2008), Cairo University

For the degree of Doctor of Philosophy in Veterinary Medical Sciences (Virology)

Under the supervision of

Prof. Dr. Ahmed Abd El-Ghani El-Sanousi

Professor of Virology, Faculty of Veterinary Medicine

Cairo University

Prof. Dr. Mohamed Abd EL-Hamid Shalaby

Professor of Virology Faculty of Veterinary Medicine Cairo University Prof. Dr. Ismail Mohammed Reda Professor of Virology Faculty of Veterinary Medicine Cairo University

Prof. Dr. Mohammed Sami Saber Professor of Virology Faculty of Veterinary Medicine Cairo University

Dr. Abo Zeid Ahmed Abo Zeid Chief researcher Serum and Vaccine Research Institute

TABLE OF CONTENTS

AB	STRAC	۲	I
		ON	
		CONTENTS	
		ABLES GURES	
1		ODUCTION	
2		EW OF LITERATURE	
	2.1 Ad	juvants	4
	2.1.1	History of vaccine adjuvants development	5
	2.1.2	Adjuvant roles	13
	2.1.3	Adjuvant selection	14
	2.1.4	Adjuvant safety issues	
	2.1.5	Adjuvant classification	
	2.1.6	Adjuvant limitations	
	2.1.7	Major adjuvant groups	
	2.2 Ne	wcastle disease	
	2.2.1	Summary of the disease and its etiology	
	2.2.2	History of ND in Egypt	
	2.2.3	Morphology and molecular structure	
	2.2.4	Genome organization of NDV	
	2.2.5	Virus classification	
	2.2.6	Diagnosis	
	2.2.7	Vaccination	
	2.2.8	Immunity to Newcastle Disease	46
3		ERIALS AND METHODS	

3.1.1	Chicks	51
3.1.2	Embryonated chicken eggs	52
3.1.3	Viruses	52
3.1.4	Haemagglutinating antigens	53
3.1.5	Reference antisera	53
3.1.6	Chicken erythrocyte suspensions	53
3.1.7	Chicken immunoglobulin Y (IgY)	54
3.1.8	Formalin solution	54
3.1.9	Binary ethylenimine	54
3.1.10	Sodium thiosulphate solution (20%)	54
3.1.11	Chloroform	55
3.1.12	Oils	55
3.1.13	Commercial adjuvants	55
3.1.14	Tween 80 (polyethylene sorbitan)	56
3.1.15	Chitosan (CS) [(poly-(1-4) B-D-glucopynan]	56
3.1.16	Nanoaluminum hydroxide	56
3.1.17	Acetic Acid Glacial	56
	Sodium hydroxide pellets (Sigma Aldrich - Lot #:	
	was used in neutralized pH of virus suspension after	
	on and preparation of 0.2 N Na OH.	
	Sodium citrate tri basic dehydrate (Sigma Adrich - 0K0015) was used to prevent blood coagulation	
	Tri- Sodium orthophosphate (ADWIC, Egypt) used in	
	n of phosphate buffered saline.	
3.1.21	Sodium chloride (SIGMA Aldrich - Lot #: 20K0747)	
-	reparation of phosphate buffered saline and normal	
saline buff	fer	51

3.1.22	Sodium phosphate dibasic GR (anhydrous)57					
3.1.23	Potassium dihydrogen orthophosphate.	57				
	Sodium Dihydrogen Orthophosphate dihydrate 4.2H ₂ O)	57				
3.1.25	Potassium Chloride (KCL) (El Nasr Pharmaceuticals,					
Egypt) us	ed in preparation of phosphate buffered saline	58				
3.1.26	Cetylpyridinium chloride (Sigma Aldrich, USA)	58				
3.1.27	Ethanol absolute	58				
3.1.28	8 Glycine					
3.1.29	Sodium Tripoly phosphate anhydrous (STPP)	58				
3.1.30	Media Used For Testing the Purity of the Prepared					
Vaccines	58					
3.1.31	Solutions:	59				
3.1.32	Laboratory devices	51				
3.2 Me	thods	55				
3.2.1	Virus selection and propagation	56				
3.2.2	Virus purification and concentration	56				
3.2.3	Infectivity titration	57				
3.2.4	Extraction of IgY from commercial eggs	57				
3.2.5	Rapid slide haemagglutination test (sHA)	58				
3.2.6	Plate haemagglutination test (HAT)	59				
3.2.7	Haemagglutination inhibition (HI) test	70				
3.2.8	Virus inactivation	71				
3.2.9	Post inactivation titration	73				
3.2.10	Confirmation of inactivation	73				
3.2.11	Post formulation Sterility testing	74				

3.2.12 Chitosan microparticles and INDV +chitosan
microparticles formulation preparation74
3.2.13 Preparation of nanoemulsion using ultrasonication75
3.2.14 Nanoemulsion-antigen loading75
3.2.15 Characterization of nanoemulsion stability76
3.2.16 Characterization of size and surface charge77
3.2.17 Nano aluminum hydroxide preparation77
3.2.18 Thermodynamic stability for Nanoaluminum hydroxide suspensions
3.2.19 Nano aluminum hydroxide formulations
3.2.20 Antigen loading Efficiency (LE)78
3.2.21 Equivalence determination of NDV with its specific IgY 78
3.2.22 NDV+ antiNDV IgY (immune complex) preparation79
3.2.23 Emulsion Preparation Using Montanide ISA 71 VG oil adjuvant
3.2.24 INDV preparation using Aluminum hydroxide Gel (Rehydragel® LV)
3.2.25 INDV preparation using Montanide Gel O180
3.2.26 Immunization experiments
3.2.27 Serum samples
RESULTS
4.2 Virus purification91
4.3 The effect of inactivation methodology on HA activity,
residual infectivity, antigen aggregation, and antigen
precipitation91

4.4 Equilibrium point of NDV + IgY92
4.5 Dilution of IgY before mixing with INDV reduces
precipitation during formulation93
4.6 Sterility testing94
4.7 Results of Characterization of experimental
formulations94
 4.7.1 Zeta potential analysis and particle size distribution using Dynamic Light Scattering (DLS)
4.7.3 Size distribution of nanoaluminum hydroxide in different media
4.7.4 High resolution TEM image of nonaluminum hydroxide102
4.7.5 Size distribution of INDV loaded on nanoaluminum hydroxide103
4.7.6 Zeta potential analysis of INDV + nanoaluminum hydroxide104
4.7.7 Antigen loading on nonaluminum hydroxide process quality control (QC) using zeta potential analysis
4.7.8 H-R TEM image of INDV-loaded nanoaluminum hydroxide formulation105
4.7.9 H-R TEM image of INDV-loaded Rehydragel commercial aluminum hydroxide gel107
4.7.10 Particle size distribution of nanoemulsion108
4.7.11 H-R TEM image of nanoemulsion109
IX

	Particle size distribution of INDV-loaded					
nanoemul	sion formulation109					
	H-R TEM image of INDV+ nanoemulsion					
experime	ntal formulation111					
4.7.14	Zeta potential analysis of nanoemulsion formulations111					
4.7.15	Zeta potential analysis of INDV + nanoemulsion					
experime	ntal formulations113					
4.7.16	Particle size distribution of Chitosan formulation114					
4.7.17	Zeta potential analysis of Chitosan micro particles 115					
4.7.18	Particle size distribution of INDV-loaded Chitosan					
Micro pai	ticles116					
4.7.19	Zeta potential analysis of INDV-loaded on Chitosan					
formulati	on117					
	Thermodynamic stability characterization of sion118					
4.7.21 adjuvants	Antigen loading efficiency (LE) of the experimental 118					
4.8 Sta	ge three preliminary experiments Results118					

4.8.2 Determination of the optimal concentration and delivery route of INDV + Chitosan microparticle formulations *in vivo* 119

	4.9	Stage	e four	results:	final	evaluation o	f selected
f	formu	lations	•••••	•••••	•••••		
	4.	9.1 A	nti-NDV	antibo	ody and	l protective	responses
	follo	wing	treatme	nt with	select	experimental	l adjuvant
	form	ulation	ıs				
5	Ы	SCUS	SION				
8							
LI	ST O	F ABE	BREVIA	TIONS.			
							177

LIST OF TABLES

Table (1). Treatment groups for determination of the optimalconcentration and delivery route of INDV + IgY formulations
Table (2). Treatment groups for the evaluation of the effect of mucosal delivery of a single dose of INDV formulated with Chitosan microparticles.83
Table (3). Treatment groups for determination of the optimal concentration and delivery route of INDV + nanoemulsion, and INDV + nanoaluminum hydroxide formulations
Table (40). Treatment groups for evaluation of early responses to immunization with complex experimental formulations of nanoemulsion, nanoaluminum hydroxide, and Chitosan
Table (5). Treatment groups of the final evaluation experiment ofselected formulations
Table (6). The effect of inactivation methodology on select physical andbiological NDV qualities
Table (7). Zeta potential analysis of antigen loading on nonaluminum hydroxide
Table (8). NDV HI titer and protection percentage of control and treated groups for simple immune complex (INDV + IgY) formulations
Table (9). NDV HI titer and protection percentage of control and treatedgroups for single INDV-loaded Chitosan microparticle formulation
Table (10). NDV HI titer and protection percentage of control and treated groups for single INDV-loaded nanoemulsion and INDV-loaded nanoaluminum hydroxide formulations
Table (11). NDV early responses to immunization with complex experimental formulations of nanoemulsion and nanoaluminum hydroxide
Table (12). Anti-NDV antibody and protective responses following treatment with select experimental adjuvant formulations

LIST OF FIGURES

Fig. (1). Hallmarks of an ideal vaccine adjuvant
Fig. (2). Structure and genome organization of NDV
Fig. (3). Experimental overview65
Fig. (4). Overview of the experiment used for evaluation of early responses to immunization with complex experimental formulations of nanoemulsion, nanoaluminum hydroxide, and Chitosan. Extract C= "Bacterial component". Y= natural lipid. Oil X= plant oil
Fig. (5). Exact determination of the point of equilibrium using HI in a checkerboard dilution format93
Fig. (6). Dilution of IgY before mixing with INDV reduces precipitation during formulation94
Fig. (7). Size distribution of purified INDV95
Fig. (8). Electron micrograph of negatively stained INDV + IgY immune complexes
Fig. (9). DLS estimation of unloaded water-dispersed nanoaluminum hydroxide size distribution98
Fig. (10). Particle size distribution of nanoaluminum hydroxide after 6 months storage in refrigerator99
Fig. (11). Particle size distribution of saline-dispersed nanoaluminum hydroxide100
Fig. (12). Zeta potential of nanoaluminum hydroxide101
Fig. (13). TEM Image of nonaluminum hydroxide102
Fig. (14). Size distribution of INDV loaded on nanoaluminum hydroxide103
Fig. (15). Zeta potential analysis of INDV + nanoaluminum hydroxide104
Fig. (16). Microscopic characterization of negatively stained INDV loaded on nanoaluminum hydroxide

Fig. (17). Microscopic characterization of negatively stained INDV loaded on Rehydragel10)7
Fig. (18). Particle size distribution of nanoemulsion)8
Fig. (19). Microscopic characterization of negatively stained nanoemulsion)9
Fig. (20). Particle Size distribution of INDV-loaded nanoemulsion formulation11	LO
Fig. (21). Microscopic characterization of experimental formulation containing INDV-loaded nanoemulsion preparation11	L1
Fig. (22). Zeta potential analysis of nanoemulsion11	12
Fig. (23). Zeta potential analysis of INDV-loaded nanoemulsion11	13
Fig. (24). Particle size distribution of Chitosan formulation using Malvern Nano-Zs analyzer11	L4
Fig. (25). Zeta potential analysis of Chitosan microparticles11	15
Fig. (26). Particle size analysis of INDV-loaded Chitosan microparticles11	16
Fig. (27). Zeta potential analysis of INDV- loaded Chitosan microparticles11	L7
Fig (28). Early* protection against NDV challenge following immunization with complex experimental formulations of nanoemulsion, nanoaluminum hydroxide, and Chitosan	26
Fig (29). Anti-NDV protective responses following treatment with select experimental adjuvant formulations	30

6 CONCLUSIONS

In conclusion, the described results demonstrate that local adjuvants can be produced with relative ease and cost effectiveness. It also shows that it is possible to improve commercial vaccines by incorporating some additional components without major modification of the production process. More importantly, this research demonstrates that important steps were made towards the development of a local mucosal adjuvant (better than the commercial mucosal adjuvant tested in this experiment). Further studies are needed to achieve higher protection rates by the described mucosal adjuvant preparation. This research is considered an important milestone in the complete independence of the national vaccine industry. It is recommended that the national vaccine production industry headed by VSVRI should consider establishment of an adjuvant production factory to cover national vaccine production needs.

7 <u>SUMMARY</u>

The current study was initiated with the intent to prepare biodegradable nanoadjuvants that can be delivered both mucosal surfaces of chicken. The study also targeted the development of other adjuvants that can used to deliver inactivated viral antigens through the percutaneous route. In parallel, the study targeted improvement of the immune response to current commercial vaccines and to reduce the cost of production of these vaccines in a manner that will sever national interests. Therefore, this study was conducted on several stages.

Stage one (the preparation of some chemical compounds and biological material to be tested as adjuvants)

- a. The following material was prepared:
- b. Micro-sized Chitosan particle (> 6 um).
- c. Oily nanoemulsion (within the 100 nm range).
- d. Nonoaluminum hydroxide (within the 130 nm range).
- e. IgY preparations that contain antibodies to NDV antigens (known to act as a natural immunomodulatory material).

Propagation of NDV in 9-11 days old SPF ECE then titrated in 9-11 days old SPF ECE.

Virus purification and inactivation

Stage two included

Preparation of formulations

Physical and visual characterization of those experimental formulations.

The critical point for equilibrium was determined for the NDV-IgY complex.

The optimum method for virus inactivation that would facilitate further downstream antigen loading and final vaccine formulation within the nanosize range was determined.

Stage three (preliminary experiments to investigate the optimal methods, concentrations, and delivery routes to achieve maximum adjuvant effect using the Newcastle disease virus model)

SPF-origin seronegative chicken or SPF chickens were used. The experimental inactivated virus vaccine preparations were given via different routes. Each preparation contain the same proportion of inactivated virus of the local isolate of NDV genotype VIId and LaSota strain antigens and different types of the proposed adjuvants, delivered by different route. Samples were collected at immunization and weekly afterwards. After 21 days, challenge was conducted using 10^6 EID₅₀/bird of the virulent of NDV genotype VIId in isolators.

The preliminary results using IgY-antigen preparation on the mucosal surfaces was 0 seroconversion and protection. Protection and seroconversion using nanoaluminum hydroxide-antigen preparations containing 0.7 mg nanoaluminum hydroxide was achieved in only 50% of treated chicks.

Groups that received the nanoemulsion at 20% emulsion of the whole dose delivered also failed to induce protection despite the fact that seroconversion was sometimes achieved in birds receiving

percutaneous treatments; but birds that received the formula via the mucosal route seroconversion was somewhat low than percutaneous (as measure by HI).

Another major experiment conducted on three hundred and fifty five chicks of SPF origin that were tested to be seronegative were kept until they reached 6 weeks of age, then divided into 26 groups, each given one dose of the inactivated experimental NDV vaccine containing of equal amounts (7.68 X 10 ⁶EID₅₀/bird) of the local isolate of NDV genotype VIId and LaSota strain antigens except one group and different types of the formulated adjuvants administered either percutaneously or on mucosal surfaces. Appropriate controls were included. The birds were observed for 10 days after delivery and samples were collected before and after immunization (after one week and after 10 days). Birds were challenged only 10 days post immunization. Serum HI antibody levels were measured, and protection was calculated following challenge. The results revealed important improvements over the imported commercial vaccines. Results obtained were as follows:

For groups that received inactivated NDV and Chitosan at a concentration of 1.5 mg, with or without "Bacterial component" and/or Natural lipid:

- Formulations containing Chitosan and "Bacterial component" gave 71.42 % protection when taken by injection. The same formulation without stimulant "Bacterial component" resulted in only 60% protection. The formula failed to stimulate protective immunity when given mucosal (0% protection).

- Formulations containing the inactivated antigen and both "Bacterial component" and Natural lipid resulted in the production of 70% protection when given by injection, and 14.28% when given on mucosal surfaces.
- Formulations containing only chitosan without antigen failed to induce antibody production, and birds were killed following challenge.
- Birds receiving double the dose of the inactivated antigen showed some seroconversion and only 10% birds survived challenge.

Formulations containing the inactivated antigen, the nanoaluminum hydroxide with or without stimulant Bacterial component or with andwithout both "Bacterial component" and natural lipid resulted in:

- 86.67% protection when formulations contained the "Bacterial component" stimulant only given by injection, and 0% when given on mucosal surface.
- 75% protection when formulations contained the "Bacterial component" and Y stimulants were given by injection, and 10% when given on mucosal surfaces.
- 80% protection when formulations contained only nanoaluminum hydroxide without "Bacterial component" and natural lipid were given by injection.
- Formulations containing only nanoaluminum hydroxide without antigen failed to induce antibody production, and birds were killed following challenge (0% survival).

Formulations containing the inactivated antigen, the antigen-IgY complex at the proper equilibrium ration with 40% nanoemulsion with or without stimulant Bacterial component, or with and without both "Bacterial component" and natural lipid resulted in:

- 40% protection when the formulation contained the nanoemulsion and the antigen with "Bacterial component", and was delivered by injection. The same formulation resulted in the production of 15.38% protection when it was given via the mucosal routes.
- 46.66% protection when the formulations contained the nanoemulsion and the antigen with "Bacterial component", "natural lipid", and nanoaluminum hydroxide delivered via injection.

Formulations containing the inactivated antigen properly mixed with Montanide ISA 71 resulted in protection percentages of 80% under the same experimental conditions of the rest of the experiment.

Formulations containing the inactivated antigen properly mixed with Montanide Gel O1 delivered via the mucosal route failed to stimulate protective responses (0% survival) when conducted under the same conditions of the rest of the experiment.

Formulations containing the inactivated antigen properly mixed with the Rehydragel aluminum hydroxide gel adjuvant resulted in protection percentages of 71.42 % under the same experimental conditions of the rest of the experiment.

Formulations containing the inactivated antigen properly mixed with Montanide ISA 71 resulted in protection percentages of 100% when the formula contained properly balanced IgY-Antigen complex was delivered by injection under the same experimental conditions.

Protection was 0% when the formulations contained the properly mixed IgY-antigen complex together with nanoaluminum hydroxide, "Bacterial component", and "natural lipid" in 40% nanoemulsion delivered via mucosal surfaces.

40% protection was achieved when the formulations contained the properly mixed IgY-antigen complex together with nanoaluminum hydroxide, "Bacterial component" stimulant, "natural lipid", in 40% nanoemulsion, contained twice the amount of antigen and, was delivered via mucosal surfaces.

Proper controls were included. 0% protection was observed when formulations contained no antigen.

Stage four included characterization of select formulations and evaluation of final formulations.

In this stage, characterization of different formulations and final experimental evaluation of different formulation in birds.

Characterization of the prepared components of formulae using high resolution electron microscope, and a Zeta potential analyzer.

Final experiment for evaluation of different formulations in SPF chicks

Proper controls were included. Birds were observed for 21 days after administration of the treatment. Serum samples were collected one and two weeks post immunization, and assessed for the presence of specific antibodies using HI. After 21 days the birds were challenged as before and protection percentages were recorded. The approach used for assessment was that adopted by the national regulatory authorities for the release of vaccine for market use. The results detailed below show that the reported experimental formulations produced similar or better results when compared to control imported adjuvants. Results obtained from each group were as follows:

The results detailed below show that the experimental formulations gave comparable or better results compared commercial vaccines used for comparison. Results obtained from each group were as follows:

- Formulations containing the inactivated antigen and the nanoaluminum hydroxide without any additional stimulant elicited the production of protective immunity in all vaccinated chicken (100% protection).
- Formulations containing the inactivated antigen, the nanoaluminum hydroxide, and stimulant "Bacterial component" elicited the production of protective immunity in 95% of vaccinated chicken.
- All formulations containing adjuvant(s) without the inactivated antigen failed to protect birds (0% survival after challenge).
- Formulations that contained antigen-IgY complex at the proper equilibrium with 40% nanoemulsion with both "Bacterial component" and natural lipid, and given 2X the regular dose elicited immunological response that lead to the protection of 50% of challenged birds following mucosal delivery of the formula.

- Formulations containing the IgY, and "Bacterial component" and natural lipid without the inactivated antigen failed to protect birds (0% survival after challenge).
- Formulations containing the inactivated antigen properly mixed with Montanide ISA 71 resulted in protection percentages of 90% under the same experimental conditions of the rest of the experiment.
- Formulations containing the inactivated antigen properly mixed with Rehydragel aluminum hydroxide gel adjuvant resulted in protection percentages of 90% under the same experimental conditions of the rest of the experiment.
- Formulations containing the inactivated antigen properly mixed with Montanide ISA 71 resulted in protection percentages of 100% when the formula contained properly balanced IgY-Antigen complex, and was delivered by injection under the same experimental conditions of the rest of the experiment.
- Formulations containing the inactivated antigen properly mixed with nanoaluminum hydroxide elicited responses that lead to protection percentages of 80% when the formula contained properly balanced IgY-Antigen complex, and was delivered by injection under the same experimental conditions of the rest of the experiment.

This research is considered an important milestone in the complete independence of the national vaccine industry. It is recommended that the national vaccine production industry headed by VSVRI should consider establishment of an adjuvant production factory to cover national vaccine production needs.